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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 Of 8

Complete if Known

Application Number	09/592,165
Filing Date	June 12, 2000
First Named Inventor	Mahadevan Iyer
Art Unit	2661
Examiner Name	SALVATORE CANGIALOSI
Attorney Docket Number	134897

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5, 029, 206	07-02-1991	MARTINO JR. ET AL	RECEIVED
		US- 5,448,724	09-05-1995	HAYASHI	AUG 12 2004
		US- 5,579,222	11-26-1996	BAINS ET AL.	Technology Center 2600
		US- 5,677,905	10-14-1997	BIGHAM ET AL.	
		US-5, 758,083	05-26-1998	SINGH ET AL.	
		US-5, 835, 481	11-10-1998	AKYOL ET AL	
		US- 5,872,779	02-16-1999	VAUDREUIL	
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		US- 5,951,639	09-14-1999	MACINNIS	
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		US- 6,047,322	04-04-2000	VAID ET AL.	
		US- 6,070,243	05-30-2000	SEE ET AL.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
		EP 0 909 074 A1	04-14-1999	LUCENT TECHNOLOGIES		
		EP 1 026 867 A	08-09-2000	NORTEL NETWORKS CORPORATION		
		WO 95/29544	11-02-1995	CISCO SYSTEMS		
		WO 98/28880	07-02-1998	MCI COMMUNICATIONS		

Examiner Signature	Date Considered
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Art Unit	2661
Examiner Name	SALVATORE CANGIALOSI
Attorney Docket Number	134897

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		US- 6,073,175	06-06-2000	TAVS ET AL.	
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Sheet 3 Of 8

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Application Number	09/592,165
Filing Date	JUNE 12, 2000
First Named Inventor	Mahadevan Iyer
Art Unit	2661
Examiner Name	Salvatore Cangialosi
Attorney Docket Number	134897

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		US- 6,466,941	10-15-2002	ROWE ET AL.	
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Sheet 4 Of 8

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Application Number	09/592,165
Filing Date	JUNE 12, 2000
First Named Inventor	Mahadevan Iyer
Art Unit	2661
Examiner Name	SALVATORE CANGIALOSI
Attorney Docket Number	134897

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Sheet

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Application Number	09/592,165
Filing Date	JUNE 12, 2000
First Named Inventor	Mahadevan Iyer
Group Art Unit	2661
Examiner Name	SALVATORE CANGIALOSI
Attorney Docket Number	134897

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"Policy-Based Networking: Working Hand In Hand with DEN," From the Internet, Archives ENTrmag.com, November 1998. Retrieved from Internet: <URL: http://www.entmag.com/archives/print.asp?EditorialsID=4305 >, retrieved on August 20, 2003.	
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		BLIGHT, DAVID C. and HAMADA, TAKEO, "Policy-Based Networking Architecture for QoS Internetworking in IP management – Scalable Architecture for Large-Scale Enterprise-Public Interoperation," Integrated Network Management, Distributed Management for the Networked Millennium, Proceedings of the Sixth IFIP/IEEE International Symposium on Boston, MA, USA, May 24-28, 1999, pp. 813 – 826, IEEE, Piscataway, NJ., USA .	
		"Today's Policy Management Scenarios," 1998, Derminisitic.com	AUG 12 2004
			Technology Center 2600
		APOSTOLOPOULOS, T.K., DASKALOU, V.C., KATSIKAS, S.K., and MOULINOS, K.D., "Enforcing Security Policies in Large Scale Communication Networks," Reliable Distributed Systems Proceedings, Seventeenth IEEE Symposium on West Lafayette, IN., USA, October 20 – 23, 1998, pp. 393 – 397, IEEE Comput. Soc, Los Alamitos, CA., USA.	
		MARRIOT, DAMIAN and SLOMAN, MORRIS, "Management Policy Service for Distributed Systems," Services in Distributed and Networked Environments, Proceedings of Third International Workshop on Macau, June 3 – 4, 1996, pp. 2 – 9, IEEE Comput. Soc, Los Alamitos, CA., USA.	

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Sheet 6 8

Application Number 09/592,165

Filing Date JUNE 12, 2000

First Named Inventor Mahadevan Iyer

Group Art Unit 2661

Examiner Name SALVATORE CANGIALOSI

Attorney Docket Number 134897

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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
		"Cisco Secure Policy Manager," Internet Article, Online, May 8, 1999, pp. 1 – 4, Retrieved from the Internet: http://web.archive.org/web/20000312094232/cisco.com/warp/public/cc/cisco/mkt/security/csm/prdil/secmn_ov.htm , Retrieved on September 25, 2003.
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		BRAUN, T., GUNTER, M., KASUMI, M., and KHALIL, I., "Virtual Private Network Architecture," CATI – Charging and Accounting Technology for the Internet, January 8, 1999, pp. 1 – 30, XPOO2239239.

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First Named Inventor	Mahadevan Iyer	
Group Art Unit	2661	
Examiner Name	SALVATORE CANGIALOSI	
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OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

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	1	KAWAI, YASUHIRO, "Policy server starts commercialization," May 22, 1999, pp.144-151, Nikkei Internet Technology, No. 23, Nikkei BP Publishing Co.	x
	2	KAWAI, YASUHIRO, "Directory Function of Network device," December 22, 1988, pp. 14-16, Nikkei Internet Technology, No. 18, Nikkei BP Publishing Co.	x
	3	HAMAKAWA, MASANOBU, "Is your security sure?", November 1, 1998, pp. 90-95, Business Communication Vol. 35, No. 11, Business Communication Co., Ltd.	x
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	6	"Windows NT Network Design Guide," July 1, 1998, pp. 197-199, 271-276, 292-296, 302, first edition, Softbank Co., Ltd.,	x

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	7	TAKAYUKI ITO ET AL., "MULTIPLE SYSTEM," Filed September 26, 1995, as Japanese Patent Application No. 7-247521, published as Japanese Patent Application No. 9-93244 on April 4, 1997.	x
	8	MASARU YASUNAGA ET AL., "MULTIPLEXER," Filed October 26, 1993, as Japanese Patent Application No. 5-266689, published as Japanese Patent Application No. 7-121394 on May 12, 1995.	x
		STEENSTRUP, Martha, "An Architecture For Inter-Domain Policy Routing," Network Working Group, RFC 1478, June 1993, pp. 1-36, Retrieved from the Internet : URL: http://www.ietf.org/rfc/rfc1478.txt?number=1478 , Retrieved on July 30, 2004.	
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